

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

## Complete if Known

Sheet	1	of	1	Application Number	10/616,832
				Filing Date	July 9, 2003
				First Named Inventor	Michael T. Carley
				Art Unit	1762
				Examiner Name	To be assigned
				Attorney Docket Number	701879.4-006

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
JLS	1.	US 6,623,510 B2	09/23/2003	Carley et al.
JLS	2.	US 6,276,704 B1	04/27/2004	Loshakove et al.
		6726704		

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JLS	3.	DEROWE, A. et al., "Vascular Port Device", PCT Publication No. WO 99/62408, 12/09/1999.	
	4.		
	5.		

Examiner Signature	DOCSOC1:151979.1 701879-4006 J2G /James L Swiger/ (10/07/2006)	Date Considered	10/07/2006
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicants unique citation designation number (optional). <sup>2</sup>See Kinds of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Applicant: Michael T. Carley et al.

Serial No.: 10/616,832

Att'y Docket No.: 16497.1.1.4

Filing Date: July 9, 2003

Group: 3731

For: CLOSURE DEVICE AND METHODS FOR MAKING AND USING THEM

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
JLS 1	3,604,425	09/14/1971	LeRoy
2	3,757,629	09/11/1973	Schneider
3	3,805,337	04/23/1974	Branstetter
4	4,217,902	08/19/1980	March
5	4,396,139	08/02/1983	Hall et al.
6	4,485,816	12/04/1984	Krumme
7	4,505,274	03/19/1985	Speelman
8	4,586,503	05/06/1986	Kirsch et al.
9	4,665,906	05/19/1987	Jervis
10	4,777,950	10/18/1988	Kees, Jr.
11	4,860,746	08/29/1989	Yoon
12	4,865,026	09/12/1989	Barrett
13	4,917,087	04/17/1990	Walsh et al.
JLS 14	4,950,258	08/21/1990	Kawai et al.

Examiner: /James L Swiger/ (10/07/2006) Date Considered: 10/07/2006

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Applicant: Michael T. Carley et al.  
 Serial No.: 10/616,832  
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JLS	15	5,007,921	04/16/1991	Brown
	16	5,026,390	06/25/1991	Brown
	17	5,053,008	10/01/1991	Bajaj
	18	5,108,420	04/28/1992	Marks
	19	5,156,609	10/20/1992	Nakao et al.
	20	5,176,648	01/05/1993	Holmes et al.
	21	5,192,302	03/09/1993	Kensey et al.
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	23	5,304,184	04/19/1994	Hathaway et al.
	24	5,334,217	08/02/1994	Das
	25	5,366,458	11/22/1994	Korthoff et al.
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Examiner: /James L Swiger/ (10/07/2006) Date Considered: 10/07/2006

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RL  
3/11/10

Applicant: Michael T. Carley et al.  
Serial No.: 10/616,832  
Filing Date: July 9, 2003  
For: Closure Device and Methods for Making and Using Them

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Confirmation No.: 2679  
Att'y Docket No.: 16497.1.1.4  
Art Unit: 3733

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/JLS/ A1	287,046	10/23/1883	Norton
A2	1,088,393	02/24/1914	Backus
A3	1,331,401	02/17/1920	Summers
A4	2,087,074	07/13/1937	Tucker
A5	2,453,227	11/09/1948	James
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A7	2,944,311	07/12/1960	Schneckenberger
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A9	3,015,403	01/02/1962	Fuller
A10	3,142,878	08/04/1967 <sup>4</sup>	Santora
A11	3,482,428	12/09/1969	Kapitanov et al.
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Examiner: /James L. Swiger/




Date Considered:

01/07/2008

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Applicant: Michael T. Carley et al.  
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Confirmation No.: 2679  
Att'y Docket No.: 16497.1.1.4  
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Examiner Initial*	Document Number	Date	Name
 A79	5,456,400	10/10/1995	Shichman et al.
— A80	5,470,010	11/28/1995	Rothfuss et al.
— A81	5,474,557	12/12/1995	Mai
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— A89	5,560,532	10/01/1996	DeFonzo et al.
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 A94	5,645,565	07/08/1997	Rudd et al.

Examiner:





Date Considered:

1/8/07

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Applicant: Michael T. Carley et al.  
Serial No.: 10/616,832  
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Examiner Initial*	Document Number	Date	Name
 A159	6,695,867	02/24/2004	Ginn et al.
— A160	6,726,704	04/27/2004	Loshakove et al.
— A161	6,755,842	06/29/2004	Kanner et al.
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— A164	RE 31,855	03/26/1985	Osborne
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— A166	2001/0007077	07/05/2001	Ginn et al.
— A167	2002/0026208	02/28/2002	Belef
— A168	2002/0026215	02/28/2002	Redmond et al.
— A169	2002/0049472	04/25/2002	Coleman et al.
— A170	2002/0072768	06/13/2002	Ginn
— A171	2002/0077657	06/20/2002	Ginn et al.
— A172	2002/0107542	08/08/2002	Kanner et al.
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— A174	2002/0193808	12/19/2002	Belef et al.

Examiner:

Date Considered:

1/8/08

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VIA eFILE

Form PTO-1449

Applicant: Michael T. Carley, et al.

Serial No.: 10/616,832

Filing Date: July 9, 2003

For: CLOSURE DEVICE AND METHODS FOR MAKING AND USING THEM

Sheet 1 of 17

Confirmation No.: 2679

Att'y Docket No.: 16497.1.1.4

Art Unit: 3733

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

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Examiner  
Initial\*

Document  
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Name

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Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE

Form PTO-1449

Applicant: Michael T. Carley, et al.

Serial No.: 10/616,832

Filing Date: July 9, 2003

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Confirmation No.: 2679

Att'y Docket No.: 16497.1.1.4

Art Unit: 3733

Examiner  
Initial\*

Document  
Number

Publication Date

Country or  
Patent Office

*JS* 77

WO 03/71955

09/04/2003

PCT

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WO 03/71956

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Examiner:

Date Considered:

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Receipt date: 04/01/2009

10616832 - GAU: 3775

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10/616,832
Filing Date	July 9, 2003
First Named Inventor	Michael T. Carley
Art Unit	3775
Examiner Name	James L. Swiger, III
Attorney Docket Number	16497.1.1.4

	8	20050283188	12/22/2005	Loshakove et al.	
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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Country Code	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
	1	FR 2 715 290	07/28/1995	FR		<input checked="" type="checkbox"/>
	2	JP 12 74750	11/02/1989	JP		<input checked="" type="checkbox"/>
	3	JP 11500642	08/25/1997	JP		<input checked="" type="checkbox"/>
	4	PL 171425	04/30/1997	PL		<input checked="" type="checkbox"/>
	5	RU 2086192	08/10/1997	RU		<input checked="" type="checkbox"/>
	6	SU 1243708	07/15/1986	SU		<input checked="" type="checkbox"/>
	7	SU 1324650	07/23/1987	SU		<input checked="" type="checkbox"/>
	8	SU 1405828	06/30/1988	SU		<input checked="" type="checkbox"/>
	9	SU 1456109	02/07/1989	SU		<input checked="" type="checkbox"/>
	10	SU 1560133	04/30/1990	SU		<input checked="" type="checkbox"/>
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	14	WO 01/21058	03/29/2001	WO		<input type="checkbox"/>
	15	WO 01/47594	07/05/2001	WO		<input type="checkbox"/>
	16	WO 07/05585	1/11/2007	WO		<input type="checkbox"/>
	17	WO 95/21573	08/17/1995	WO		<input type="checkbox"/>

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JLS/

/James L Swiger/

07/08/2009